

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

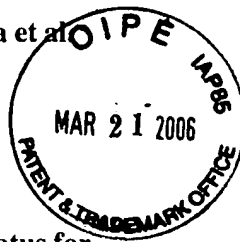
TFW  
3624

In re application of: Dutta et al

Serial No.: 09/833,347

Filed: April 12, 2001

For: Method and Apparatus for  
Incorporating Scanned Checks into  
Financial Applications



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Group Art Unit: 3624

Examiner: Kazimi, Hani M.

Attorney Docket No.: AUS920010214US1

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PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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By: Louise Fay  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Dutta et al.**

Serial No: **09/833,347**

Filed: **April 12, 2001**

Title: **Method and Apparatus for  
Incorporating Scanned Checks into  
Financial Applications**

Group Art Unit: **3624**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

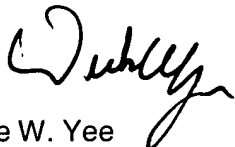
The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this Supplemental IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
- d) before the mailing of a first Office Action after filing of a request for Continued Examination.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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Substitute for form 1449/PTO

**Complete if Known**

**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**

Application Number **09/833,347**  
 Filing Date **April 12, 2001**  
 First Named Inventor **Dutta et al.**  
 Examiner Name **Kazimi, Hani M.**  
 Art Unit **3624**  
 Attorney Docket No. **AUS920010214US1**

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,105,009	08-15-2000	Cuervo	
AB	6,705,517 B1	03-16-2004	Zajkowski et al.	
AC	2001/0022848 A1	09-20-2001	Rhoads	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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